

# CogSysI Lecture 2: Representations for Classical Planning

## *Intelligent Agents*

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Slides are based on the lecture slides of Dana Nau

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# Intuitions on Planning

- Intelligent Agents: Natural or artificial systems which act in an intelligent way
- Intelligent action is rational action, that is, the best possible action in a given situation
- Planning is the reasoning side of acting
- Abstract, explicit deliberation process that chooses and organizes actions by anticipating their expected outcomes
- Some actions require planning, many do not
  - we act more frequently than we explicitly plan
  - performing well-trained behaviors for which we have pre-stored plans
  - acting and adapting in flexible settings

# Intuitions on Planning

- Planning is a complicated, time consuming, and costly process
- Planning is needed when
  - new situations, unfamiliar actions are involved
  - complex tasks, complex objectives are addressed
  - actions are constrained by high risks, high costs, joint activities, need for synchronization
- Typically we seek feasible, good plans, not optimal plans (cf. Simon's "bounded rationality")

# Motivations for Automated Planning

## ● Practical

- Designing information processing tools that give access to affordable and efficient planning resources
- Some professionals face complex changing tasks that involve demanding safety and/or efficiency requirements
- Example: disaster rescue operations  
large number of actors, deployment of communication and transportation infrastructure, time constrained, demands for immediate decisions  
relies on careful planning and assessment of several alternate plans
- Example: organizers of social meetings

# Motivations for Automated Planning cont.

- Theoretical
  - Planning is an important component of rational behavior
  - Purpose of AI: grasping computational aspects of intelligence
  - planning, as the reasoning side of acting, is a key element
  - Studying planning as abstract process (complexity, efficiency of algorithms, ...)
  - Planning as integrated component of deliberative behavior

# Motivations for Automated Planning cont.

- Hot topic: study and design of autonomous intelligent machines
  - satellites, spacecrafts, roboters cannot always be teleoperated
  - interaction with nonexpert humans on task level rather than control signals
  - machines that can sense and act as well as reason on their actions

# Automated Planning


- Plan: Sequence of actions to achieve a goal
- Planning: Computation of such a sequence
- Examples of successful applications
  - Space Exploration
  - Manufacturing
  - Games

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## Space Exploration

- Autonomous planning, scheduling, control
  - ◆ NASA: JPL and Ames
- Remote Agent Experiment (RAX)
  - ◆ Deep Space 1
- Mars Exploration Rover (MER)



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## Manufacturing

- Sheet-metal bending machines - Amada Corporation
  - ◆ Software to plan the sequence of bends  
[Gupta and Bourne, *J. Manufacturing Sci. and Engr.*, 1999]

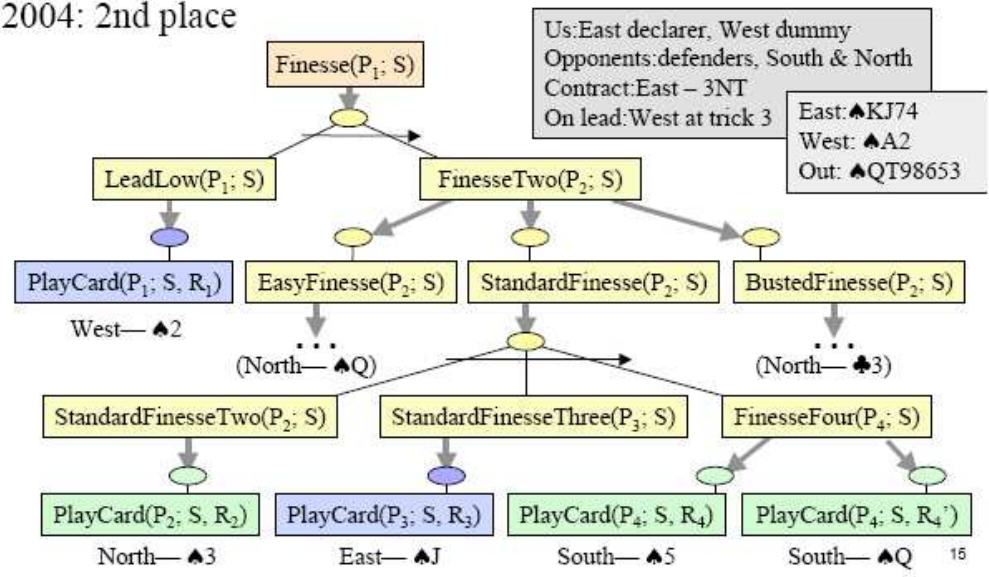


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# Games

- *Bridge Baron* - Great Game Products
  - ◆ 1997 world champion of computer bridge  
[Smith, Nau, and Throop, *AI Magazine*, 1998]
  - ◆ 2004: 2nd place



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## Conceptual Model 1. Environment

```

    graph TD
      Desc[Description of Σ] --> Planner
      Init[Initial state] --> Planner
      Obj[Objectives] --> Planner
      Planner -- Plans --> Controller
      Controller -. Execution status .-> Planner
      Controller -- Actions --> System[System Σ]
      System -- Observations --> Controller
      Events --> System
  
```

**State transition system**  
 $\Sigma = (S, A, E, \gamma)$   
 $S = \{\text{states}\}$   
 $A = \{\text{actions}\}$   
 $E = \{\text{exogenous events}\}$   
 $\gamma = \text{state-transition function}$

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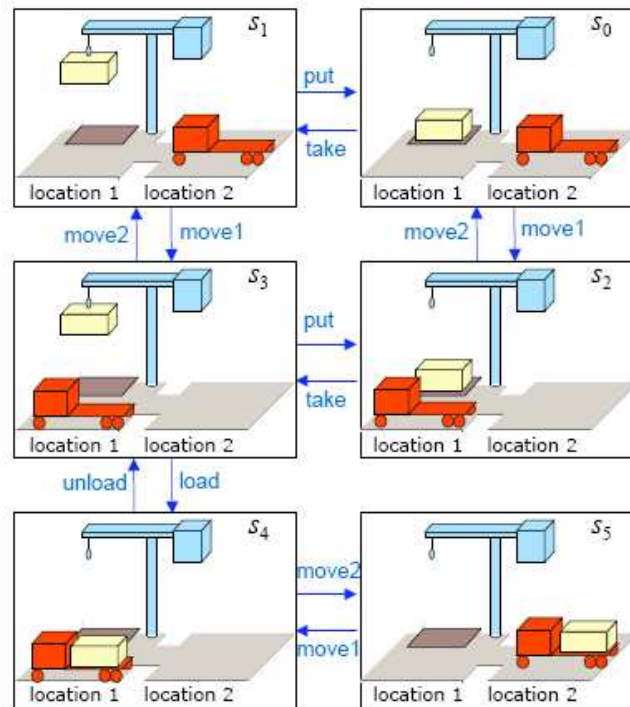
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## State Transition System

$$\Sigma = (S, A, E, \gamma)$$

- $S = \{\text{states}\}$
- $A = \{\text{actions}\}$
- $E = \{\text{exogenous events}\}$
- State-transition function  
 $\gamma: S \times (A \cup E) \rightarrow 2^S$

- ◆  $S = \{s_0, \dots, s_5\}$
- ◆  $A = \{\text{move1, move2, put, take, load, unload}\}$
- ◆  $E = \{\}$
- ◆  $\gamma$ : see the arrows



The Dock Worker Robots (DWR) domain

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## Conceptual Model 2. Controller

```

    graph TD
      DS[Description of Σ] --> P[Planner]
      IS[Initial state] --> P
      O[Objectives] --> P
      P -- Plans --> C[Controller]
      ES[Execution status] -.-> P
      C -- Actions --> S[System Σ]
      S -- Observations --> C
      E[Events] --> S
      OF[Observation function h: S → O] --> S
  
```

Observation function  $h: S \rightarrow O$

Given observation  $o$  in  $O$ , produces action  $a$  in  $A$

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### Conceptual Model 3. Planner's Input

The diagram illustrates the interaction between a Planner, a Controller, and a System  $\Sigma$ . The Planner receives a "Description of  $\Sigma$ " and a "Planning problem" (which includes "Initial state" and "Objectives"). The Planner outputs "Plans" to the Controller. The Controller outputs "Actions" to the System  $\Sigma$ . The System  $\Sigma$  outputs "Observations" to the Controller and receives "Events". The Controller outputs "Execution status" to the Planner. A note indicates that "Execution status" should be omitted unless planning is online.

Planning problem

Initial state

Objectives

Execution status

Description of  $\Sigma$

Planner

Plans

Controller

Actions

Observations

System  $\Sigma$

Events

Omit unless planning is online

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# Planning Problem

Description of  $\Sigma$

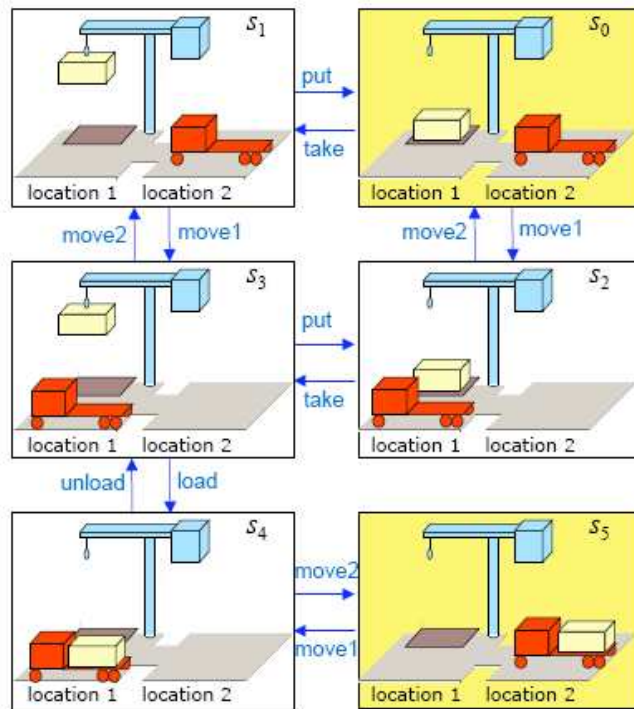
Initial state or set of states

Initial state =  $s_0$

Objective

Goal state, set of goal states, set of tasks, "trajectory" of states, objective function, ...

Goal state =  $s_5$



The Dock Worker Robots (DWR) domain

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## Conceptual Model 4. Planner's Output

The diagram illustrates the interaction between a Planner, a Controller, and a System  $\Sigma$ . The Planner receives an Initial state, Objectives, and a Description of  $\Sigma$ . It outputs Plans to the Controller, which are annotated as "Instructions to the controller". The Controller sends Actions to the System  $\Sigma$  and receives Observations in return. The System  $\Sigma$  also receives Events. The Controller sends Execution status back to the Planner.

Initial state  
Objectives  
Description of  $\Sigma$   
Planner  
Plans  
Controller  
System  $\Sigma$   
Events  
Actions  
Observations  
Execution status

Instructions to the controller

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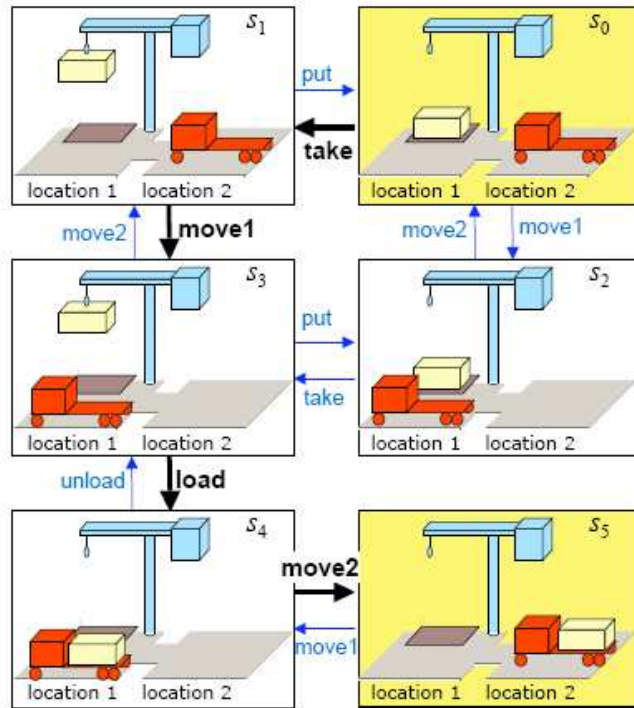
# Plans

**Classical plan:** a sequence of actions

$\langle \text{take, move1, load, move2} \rangle$

**Policy:** partial function from  $S$  into  $A$

$\{(s_0, \text{take}), (s_1, \text{move1}), (s_3, \text{load}), (s_4, \text{move2})\}$



The Dock Worker Robots (DWR) domain

# Planning, Problem Solving, Scheduling

- Problem solving: using domain-specific heuristics to search for a (optimal) sequence of actions
- Scheduling: decide when and how to perform a given set of actions obeying time constraints, resource constraints, objective functions
- Planning: decide what actions to use in what sequence to achieve some set of objectives  
biggest algorithmical challenge: often worse than NP-complete, worst case is undecidable (see lecture about complexity of classical planning)

# Domain-Independent Planning

- In principle, a domain-independent planner works in any planning domain
- Uses no domain-specific knowledge except the definition of the basic actions
- In practice, it is not feasible to develop a planner that works in every possible domain
- Make simplifying assumptions to restrict the set of domains: mostly classical planning
- Domain-specific planners can be very successful in specific domains but one needs to write an entire program (lots of work)

# Classical Planning

- Restrictive assumptions (see next slide): finite set of states and actions; fully observable states; deterministic outcome of actions, ...
- Reduces to the problem of path searching in a graph with nodes as states and edges as actions (which is still hard enough):
  - Generalize the earlier example to 5 locations, 3 robot carts, 100 containers, 3 piles:  $10^{277}$  states
  - Number of particles in the universe is about  $10^{87}$
- Most research is on classical planning with many different algorithms
- Planning Competition (AIPS 1998, AIPS 2000, IPC 2002, ...) shows the progress every two years

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## Restrictive Assumptions

- **A0: Finite system:**
  - ◆ finitely many states, actions, events
- **A1: Fully observable:**
  - ◆ the controller always  $\Sigma$ 's current state
- **A2: Deterministic:**
  - ◆ each action has only one outcome
- **A3: Static (no exogenous events):**
  - ◆ no changes but the controller's actions
- **A4: Attainment goals:**
  - ◆ a set of goal states  $S_g$
- **A5: Sequential plans:**
  - ◆ a plan is a linearly ordered sequence of actions  $(a_1, a_2, \dots, a_n)$
- **A6: Implicit time:**
  - ◆ no time durations; linear sequence of instantaneous states
- **A7: Off-line planning:**
  - ◆ planner doesn't know the execution status

```

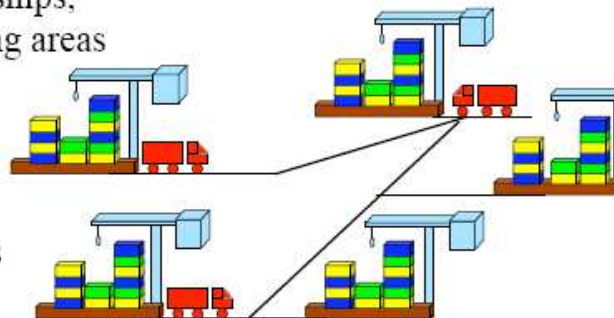
graph TD
    IS[Initial state] --> P[Planner]
    O[Objectives] --> P
    DS[Description of Σ] --> P
    P -- Plans --> C[Controller]
    C -- Actions --> S[System Σ]
    S -- Events --> C
    C -- Observations --> S
    C -- Execution status --> P
    
```

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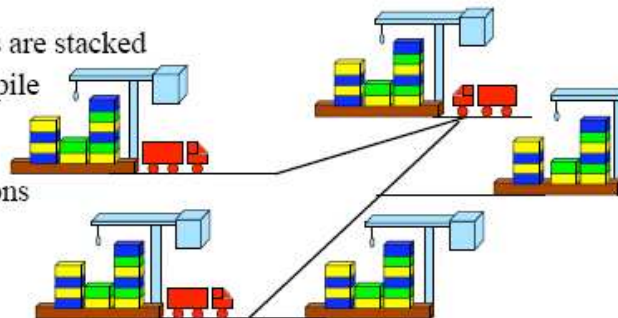
## A running example: Dock Worker Robots

- Generalization of the earlier example
  - ◆ A harbor with several locations
    - » e.g., docks, docked ships, storage areas, parking areas
  - ◆ Containers
    - » going to/from ships
  - ◆ Robot carts
    - » can move containers
  - ◆ Cranes
    - » can load and unload containers



## A running example: Dock Worker Robots

- **Locations:** l1, l2, ...
- **Containers:** c1, c2, ...
  - ◆ can be stacked in piles, loaded onto robots, or held by cranes
- **Piles:** p1, p2, ...
  - ◆ fixed areas where containers are stacked
  - ◆ pallet at the bottom of each pile
- **Robot carts:** r1, r2, ...
  - ◆ can move to adjacent locations
  - ◆ carry at most one container
- **Cranes:** k1, k2, ...
  - ◆ each belongs to a single location
  - ◆ move containers between piles and robots
  - ◆ if there is a pile at a location, there must also be a crane there



## A running example: Dock Worker Robots

- Fixed relations: same in all states

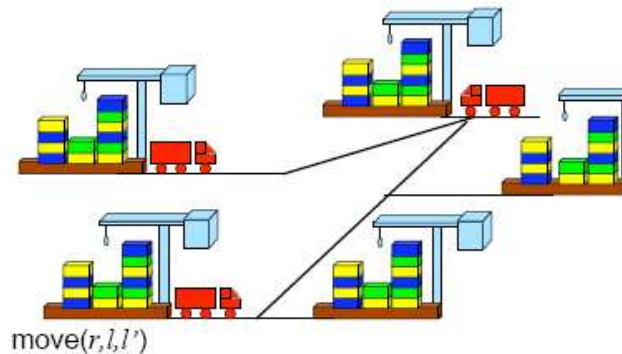
$adjacent(l, l')$     $attached(p, l)$     $belong(k, l)$

- Dynamic relations: differ from one state to another

$occupied(l)$     $at(r, l)$   
 $loaded(r, c)$     $unloaded(r)$   
 $holding(k, c)$     $empty(k)$   
 $in(c, p)$     $on(c, c')$   
 $top(c, p)$     $top(pallet, p)$

- Actions:

$take(c, k, p)$     $put(c, k, p)$   
 $load(r, c, k)$     $unload(r)$





# Representations for Classical Planning

- See slides from Dana Nau, chapter02.pdf
- Supplementary information (next slides)
  - Syntax of First Order Logic
  - Closed World Assumption
  - Extended Classical Representation
  - PDDL

# Syntax of FOL/Terms

Inductive definition:

- **Terms:**

- A variable  $v \in V$  is a term.
- If  $f$  is a function symbol with arity  $n$  and  $t_1 \dots t_n$  are terms, then  $f(t_1, \dots, t_n)$  is a term. (including constant symbols as 0-ary function symbols)
- That are all terms.

# Syntax of FOL/Formulas

Inductive definition:

## ● Formulas:

- if  $P$  is a predicate symbol with arity  $n$  and  $t_1 \dots t_n$  are terms, then  $P(t_1, \dots, t_n)$  is a formula. (atomic formula)
- For all formulas  $F$  and  $G$ ,  $\neg F$ ,  $F \wedge G$ ,  $F \vee G$ ,  $F \rightarrow G$  and  $F \leftrightarrow G$  are formula. (connectives “not”, “and”, “or”, “implies”, “equivalent”)
- If  $v$  is a variable and  $F$  is a formula, then  $\exists v F$  and  $\forall v F$  are formulas. (existential and universal quantifier, “exists”, “for all”)
- That are all formulas.

# Remarks on Syntax of FOL

- Formula are constructed over terms.  
**Never confuse this categories!**
- Additionally, parentheses can be used to group sub-expressions.
- Expressions which obey the given inductive definition are called **well-formed formulas** (wwfs).  
The closure “that are all terms/formulas” is necessary to exclude all other kinds of (not well-formed) expressions.
- We refer to atomic formulas also as “**atoms**”. Positive and negated atoms ( $P$ ,  $\neg P$ ) are called **positive/negative literals**.

# Remarks on Syntax of FOL cont.

- A variable which is in the scope of a quantor is called **bound**, otherwise it is called **free**.

$$P(x) \vee \forall y \exists z Q(y, z)$$

$x$  is free and  $y$  and  $z$  are bound.

A formula without free variables is called **sentence**.

- Propositional logic is a special case of FOL: use only unary predicate symbols (then there are no terms, no variable and no quantors) or just forbid variables and quantors (use only grounded formulas).

# Closed-World Assumption (CWA)

- An atom that is not explicitly given in a state does not hold in the state
- That is: Assumption of the value *false* for every atom which is not explicitly stated
- Classical, set-theoretical and state-variable representation all rely on the CWA
- CWA is a restriction of the logic calculus: no true negation but *negation by failure*  
(If a proposition cannot be proven to be true, it is assumed to be false.)

# CWA cont

- This restriction makes state-based planning more efficient than deductive planning in full FOL where the *frame problem* exists
- Frame problem: not only the propositions which change by an action must be specified but also all propositions which are not affected by an action (e.g. If I put block  $x$  from block  $y$  on the table,  $on(y,z)$  *still holds*)

# Extended Representation

- Typed variables and relations
- Conditional Operators
- Quantified Expressions
- Equality Constraints
- Disjunctive Preconditions
- Function Symbols
- Axiomatic Inference
- Attached Procedures



# PDDL

- Problem Domain Definition Language as common language for most modern planners (see PDDL Specification)
- Example: Equality constraints and conditioned effects

```
(define (domain blocksworld-adl)
  (:requirements :strips :equality :conditional-effects)
  (:predicates (on ?x ?y)
               (clear ?x)) ; clear(Table) is static
  (:action puton
   :parameters (?x ?y ?z)
   :precondition (and (on ?x ?z) (clear ?x) (clear ?y)
                     (not (= ?y ?z)) (not (= ?x ?z))
                     (not (= ?x ?y)) (not (= ?x Table))))
  :effect
    (and (on ?x ?y) (not (on ?x ?z))
         (when (not (eq ?z Table)) (clear ?z))
         (when (not (eq ?y Table)) (not (clear ?y)))))
)
```